

SECTION "C"
QUALIFICATIONS

BIDDER CONTACT INFORMATION

Firm Name: _____
(as it appears on license)

Check One: Sole Proprietor Corporation Partnership

Contact Person: _____

Address: _____

Phone: _____ Fax: _____

E-mail Address: _____

If firm is a sole proprietor or partnership:

Owner(s) of Company: _____

Registered Managing Employee/Name and License Number:

Contractor's License Number(s) and Expiration Dates: _____

Tax ID number: _____

General Tax Exemption Number: _____

Dun and Bradstreet Number (if applicable): _____

A Bidder must have (on the date and time the Qualifications submittal is due) valid, current, active, and in good standing Class A Contractor's License issued by the Contractors State License Board of the State of California appropriate to perform the Work.

A Bidder must submit the required Qualification forms with all portions completed, including required attachments. If additional sheets are necessary, the Bidder shall use 8-1/2" x 11" paper, attached in order, and shall reference the question being answered. A Bidder shall sign each additional sheet.

In the case of Joint Ventures, the requirements of the Qualifications apply to each Joint Venture partner individually.

Any Bidder that submits incomplete Qualifications may be deemed unqualified to bid. It is critical that Bidders fill out all information required accurately, completely, truthfully and to the best of their knowledge.

Ambiguous or incomplete information may lead to an unfavorable evaluation resulting in a determination of non-responsibility.

I, the undersigned, certify and declare that I have read all the answers to the attached qualification documents and know their contents. The matters stated in the documents and the answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

Dated: _____

Signature: _____

Print name: _____

ORGANIZATION, HISTORY, AND ORGANIZATIONAL PERFORMANCE

A. Organizational Structure

For Bidders That Are Corporations:

Date incorporated: _____

Under the laws of what state: _____

Provide all the following information for each person who is either (a) an officer of the corporation (president, vice president, secretary, treasurer), or (b) the owner of at least ten percent of the corporation’s stock.

Name	Position	Years with Corp.	% Ownership

Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten per cent or more of the business, or 10 per cent or more of its stock, if the business is a corporation.

Person’s Name	Construction Firm’s Name	Years with the Firm

For Bidders That Are Partnerships:

Date of formation: _____

Under the laws of what state: _____

Provide all the following information for each partner who owns 10 per cent or more of the firm.

Name	Position	Years with Partnership	% Ownership

Identify every construction company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten percent or more of the business, or ten per cent or more of its stock, if the business is a corporation.

Person’s Name	Construction Firm’s Name	Years with the Firm

For Bidders That Are Sole Proprietorships:

Date of commencement of business: _____

Identify every construction firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten percent or more of the business, or ten per cent or more of its stock, if the business is a corporation.

Construction Firm’s Name	Years with the Firm

For Firms That Intend to Bid as a Joint Venture:

Date of commencement of joint venture:

Provide all of the following information for each firm that is a member of the joint venture:

Name of Firm or Individual	% Ownership of Joint Venture

Specify which firm will be the lead company in the Joint Venture.

B. History of the Business, Organizational Performance and Financial Information

1. Has there been any change in ownership of the firm at any time during the last five years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

Yes No

If “yes,” explain on a separate signed page.

2. How many years has your organization been in business in California as a Contractor under your present business name and license number?

_____ Years

3. Is the firm a subsidiary, parent, holding company or affiliate of another construction firm?

NOTE: Include information about other firms if one firm owns 50 per cent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

Yes No

If “yes” and a Bidder seeks Qualified Bidder status on the basis of the financial status or the experience of an affiliate or parent company, that affiliate or parent company will be required to provide an affidavit guaranteeing the contract performance of the qualifying firm in every respect including indemnity.

C. Licenses

1. List all license numbers, classifications and expiration dates of the California Contractor licenses held by your firm:

2. If any of your firm's license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individual(s) listed on the California State Licensing Board (CSLB) records that meet(s) the experience and examination requirements for each license.

3. Has your firm changed names or license numbers in the past eight (8) years?

Yes No

If "yes," explain on a separate signed page, including the reason for the change. List all names and all licenses used.

4. Has any owner, partner or (for corporations) officer of your firm operated a construction firm under any other name in the last five years?

Yes No

If "yes," explain on a separate signed page, including the reason for the change.

5. Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years?

Yes No

If "yes," please explain on a separate signed sheet.

ESSENTIAL REQUIREMENTS FOR QUALIFICATION

Questions are pass/fail with criteria for passing listed for each question. A Bidder must pass all questions in order to be deemed a Qualified Bidder.

1. Does your firm currently possess valid, current, active, and in good standing Class A Contractor's License issued by the Contractors State License Board of the State of California that is appropriate to perform the Work?

Yes No

If "yes", please include a true and correct copy of your firm's Class A Contractor's License. If "no," your firm is not qualified to bid.

2. During the last eight (8) years, has your firm ever been denied performance and payment bonds by a surety company because of the surety's determination that your firm has failed to perform adequately on an existing or prior project?

Yes No

If "yes," your firm is not qualified to bid.

3. Has your Contractor's license been revoked or suspended at any time for more than 90 days in the last five years, pursuant to Article 7 of the California Business and Professional Code (construction without a permit, failure to pay civil penalties or compliance with order or correction, etc.)?

Yes No

If "yes," your firm is not qualified to bid.

4. Within the last eight (8) years, has a surety or the owner of a project completed or paid for the completion of a project on your behalf because your firm was terminated for cause by the owner?

Yes No

If "yes," your firm is not qualified to bid.

5. At the time of submitting this qualification form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, because of prevailing wage violations pursuant to either Labor Code section 1777.1 (contractor/subcontractor fraud on public works jobs) or Labor Code section 1777.7 (Apprenticeship Program violations)?

Yes No

If “yes,” your firm is not qualified to bid.

6. At any time during the last eight (8) years, has your firm or any of its owners or officers been convicted of a crime involving the bidding, award or performance of a government contract?

Yes No

If “yes,” your firm is not qualified to bid.

7. At any time during the last eight (8) years, has your firm or any of its owners or officers been found liable in civil or criminal court for false claims, material misrepresentation, dishonesty, or fraud against a public entity?

Yes No

If “yes,” your firm is not qualified to bid.

8. In the last eight (8) years has your firm or any firm with which any of your firm's owners, principals or officers was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, from being awarded or from completing, any public work or government project for any reason?

NOTE: “Associated with” refers to another construction firm in which an owner, partner or officer of your firm held an ownership, principal, or management position, and which is listed above.

Yes No

If “yes,” your firm is not qualified to bid.

9. Has either state or federal OSHA cited and assessed penalties against your firm for two or more instances of any “willful” or “repeat” violations of its safety or health regulations in the past five years

Yes No

If “yes,” your firm is not qualified to bid.

10. Has the EPA or any Air Quality Management District, Regional Water Quality Control Board, local storm water management agency, or the Department of Toxic Substance Control cited and assessed penalties in excess of \$10,000 per incident against your firm due to your work in the past five years?

NOTE: If you have filed an appeal for a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Yes No

If “yes,” your firm is not qualified to bid.

11. In the past five (5) years, have three (3) or more owners filed, in a court of law or in an arbitration, separate claims against your firm concerning your firm’s work on a construction project?

Yes No

If “yes,” your firm is not qualified to bid.

12. At any time in the last five (5) years has your firm paid liquidated damages of more than \$5,000 on three (3) or more construction contracts with either a public or private owner?

Yes No

If “yes,” your firm is not qualified to bid.

13. Is your firm currently in bankruptcy?

Yes No

If “yes,” your firm is not qualified to bid.

REFERENCES

A Bidder must provide on the attached forms References for three sewer system pump station improvement projects completed or on-going in the last five years that demonstrate the Bidder's technical competency and project management experience. BCPUD reserves the right, in its discretion, to contact each Reference and ask it to rank the Bidder on a scale of 1-5, with 5 being the best, on the following topics:

- (1) Did the Bidder respond in a timely and responsible manner to stop notices or liens on the project?
- (2) Did the Bidder provide adequate equipment, personnel and supervision on the job?
- (3) Was the Bidder timely in providing reports and other paperwork, including change order paperwork and scheduling updates?
- (4) Did the Bidder adhere to the project schedule that your organization approved?
- (5) Rate how the Bidder performed in the area of turning in Operation & Maintenance manuals, completing as-built drawings, providing required training and taking care of warranty items?
- (6) How would you rate the quality of the Bidder's work overall?

The highest possible score per Reference is 30 points. If a Bidder scores less than 17 points per reference, the Bidder is not qualified to bid.

By submitting the Qualifications package, a Bidder releases and waives any liability of each individual Reference furnishing the requested information.

Reference #1

Project Name: _____

Location: _____

Owner: _____

Owner Contact Name: _____

Owner Contact email and phone number: _____

Description of Project, Scope of Work Performed: _____

Total Value of Project (including change orders): _____

Original Scheduled Completion Date: _____

Time Extensions Granted (number of days): _____

Actual Date of Completion: _____

Reference #2

Project Name: _____

Location: _____

Owner: _____

Owner Contact Name: _____

Owner Contact email and phone number: _____

Description of Project, Scope of Work Performed: _____

Total Value of Project (including change orders): _____

Original Scheduled Completion Date: _____

Time Extensions Granted (number of days): _____

Actual Date of Completion: _____

Reference #3

Project Name: _____

Location: _____

Owner: _____

Owner Contact Name: _____

Owner Contact email and phone number: _____

Description of Project, Scope of Work Performed: _____

Total Value of Project (including change orders): _____

Original Scheduled Completion Date: _____

Time Extensions Granted (number of days): _____

Actual Date of Completion: _____

TECHNICAL REQUIREMENTS FOR QUALIFICATION

Questions are pass/fail with criteria for passing listed for each question. A Bidder must pass all questions in order to be deemed a Qualified Bidder.

In addition to the questions below, to demonstrate the required experience a Bidder must provide (1) company resume and (2) resumes of any principal staff identified below.

1. Do you – as a company or among your principal staff – have at least five (5) years prior experience with public agencies with the installation of sewage pump stations and associated piping, valves, fittings, and appurtenances, as well as with the associated mechanical and electrical work?

Yes No

If “no,” your firm is not qualified to bid.

If relying on principal staff experience, please list names and individual years of experience:
